

1 **REFINING THE CHRONOLOGY FOR WEST POLYNESIAN COLONIZATION: NEW**
2 **DATA FROM THE SAMOAN ARCHIPELAGO**

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14 **Abstract**

15 The timing and unprecedented speed of the Lapita migration from the western edge of Oceania
16 to western Polynesia in the Central Pacific have long been of interest to archaeologists. The
17 eastern-most extent of that great human migration was the Samoan Archipelago in West
18 Polynesia, although critical questions have remained about the timing and process of Samoan
19 colonization. To investigate those questions, we carried out a Bayesian analysis of 19
20 radiocarbon dates on charcoal and 8 uranium-thorium (U-Th) series coral dates from four
21 archaeological sites on Ofu Island in the eastern reaches of Samoa. The analysis indicates initial
22 settlement of Ofu at 2717-2663 cal BP (68.2%) by people using Plainware rather than the
23 diagnostic dentate-stamped Lapita pottery. This date range indicates that there is not a
24 significant chronological gap between Lapita and Plainware sites in Samoa, which holds
25 implications for modeling the settlement process in the Central Pacific.

26 **Key Words:** Samoa, Lapita, colonization, radiocarbon, Uranium-Thorium, Bayesian

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31 1. INTRODUCTION

32 Studies of human migration and colonization are a hallmark of archaeological inquiry. The last,
33 and arguably greatest, migration in world prehistory was the expansion of humans across the far-
34 flung islands of Oceania. The process and timing of that migration have been debated since
35 European explorers entered the region, and that interest has only intensified as archaeological
36 evidence has accumulated. Of particular importance has been the migration of the Lapita peoples
37 (cf. Kirch 1997) identified, most notably, by a unique dentate-stamped pottery. However, the
38 term Lapita has been expanded by some to encompass an entire cultural complex (Green 1979).

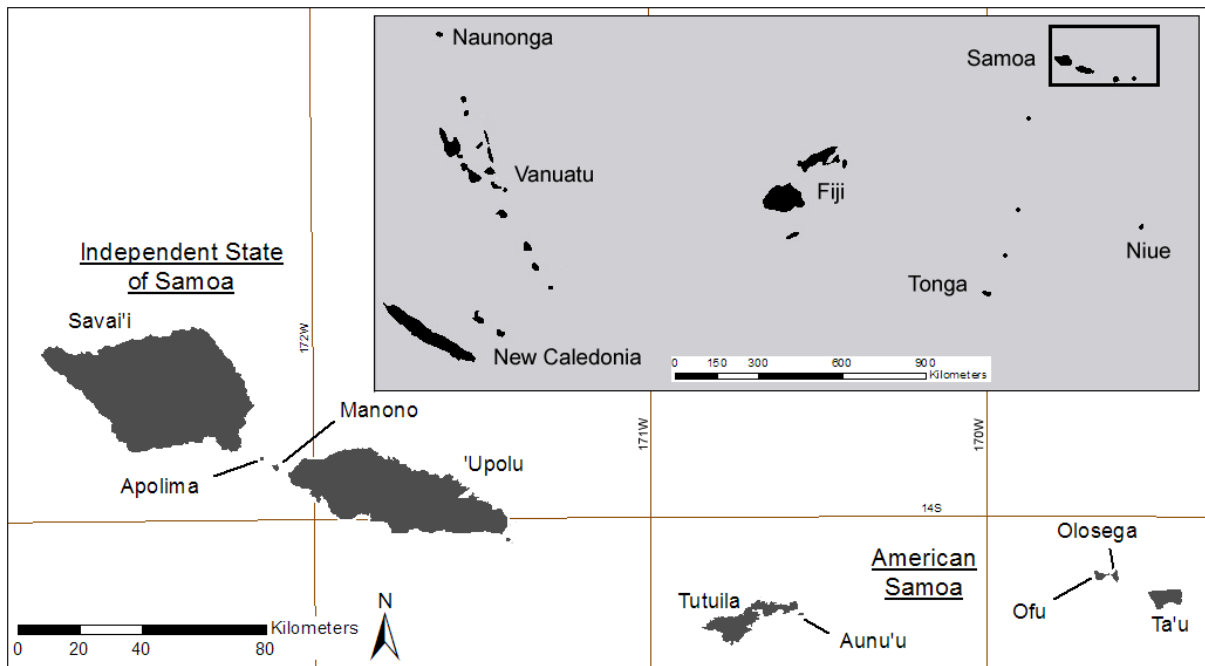
39 Lapita cultural elements appear to have developed in the far western Pacific, with populations
40 migrating into Remote Oceania (east and south of the Solomon Islands) over the course of a few
41 centuries, and spreading into the Central Pacific (Fiji and West Polynesia). With the discovery of
42 Lapita sherds at the Mulifanua site on ‘Upolu Island, the Samoan Archipelago marks the eastern
43 extent of Lapita migrations. But, despite decades of searching by archaeologists, Mulifanua still
44 stands as the only site in Samoa to yield dentate-stamped Lapita ceramics. Many other sites have
45 been found, however, that have produced Plainware (i.e., undecorated) ceramics, some of which
46 appeared to be contemporaneous with Mulifanua. In recent years, prior radiocarbon
47 determinations have been re-evaluated based on the application of “chronometric hygiene”
48 protocols, with many dates rejected as unreliable. If these dates are removed from consideration,
49 then a chronological gap lies between Mulifanua (and other Lapita sites in Tonga and Fiji) and
50 the Plainware sites of Samoa. Thus, these re-evaluations of chronology raise important questions
51 about the significance of the Samoan Archipelago in Lapita-era migration.

52 To address these questions, we apply a Bayesian analysis to 27 pre-2000 cal BP radiocarbon and
53 Thorium-230 dates from four sites on Ofu Island, Manu‘a Group, American Samoa. We then
54 interpret the results in the context of West Polynesian prehistory. Using Ofu Island as a proxy,
55 we provide a chronology for the colonization of the Manu‘a Group on the eastern margin of the
56 Samoan Archipelago.

57 2. CONTEXT

58 The Samoan Archipelago lies in West Polynesia and comprises eight major inhabited islands
59 that, due to Western colonial intervention, are now separated into the Independent State of
60 Samoa in the west (‘Upolu, Savai‘i, Manono, and Apolima islands) and the U.S. Territory of
61 American Samoa in the east (Tutuila, Aunu‘u, Ofu, Olosega, Ta‘u, Swains, and Rose Atoll) (Fig.
62 1). The Manu‘a Group, which is constituted by Ofu, Olosega, and Ta‘u islands, forms the eastern
63 extent of inhabited islands in the archipelago. Although the Manu‘a islands are small in area,
64 they are classified as high volcanic islands. Ofu (7 km²) and Olosega (5 km²) are separated by
65 less than 100 m while Ta‘u (39 km²) is only 14.5 km to the southeast, so there is inter-visibility
66 and relatively easy travel between the islands. These are the youngest islands in the archipelago,
67 and their coastlines have undergone considerable change over the last 3,000 years due to

68 tectonics, sea-level fluctuations, and local geomorphological processes (Kirch 1993b; Quintus et
69 al. 2015).



70

71 **Fig. 1.** Map of the Samoan Archipelago, with inset of the Central Pacific. Map data from ESRI, Inc.

72 Lapita ceramics first appear in the Bismarck Archipelago of the Western Pacific possibly as early as
73 as 3470-3250 cal BP (Denham et al. 2012; Specht et al. 2014) and spread into Remote Oceania
74 about 3000 cal BP (Petchey et al. 2014; Petchey et al. 2015; Sheppard et al. 2015). Lapita
75 populations have been regarded as the first colonists of the Fiji-West Polynesia region and
76 ancestral to all later Polynesians (e.g., Golson 1961; Green 1979). Based on recent chronological
77 assessments, Lapita colonization of Fiji-West Polynesia occurred rapidly and probably no earlier
78 than 3000 cal BP (Anderson and Clark 1999; Burley et al. 2010; Nunn and Petchey 2013).
79 Subsequently, ceramic decoration was largely lost, as Lapita ceramics were replaced with
80 Plainware. The claim that Samoa is part of the Lapita horizon is based on the discovery of a site
81 at Mulifanua, on the western coast of 'Upolu Island. That site is now underwater – the result of
82 Holocene subsidence (Dickinson and Green 1998) – but was fortuitously discovered when
83 dredging a ferry harbor. Archaeological investigation of *in situ* deposits has not taken place, but
84 cultural remains from the site recovered from dredge piles include Lapita sherds in an Eastern
85 Lapita decorative style characteristic of sites in Fiji and Tonga (Green 1974; Petchey 2001). Also
86 recovered were shells and a turtle bone that provide the only dates for the site. Based on the
87 critical evaluation of these dates, Petchey (2001:67) suggested that Mulifanua was settled around
88 2800 BP. However, there are still uncertainties with the dates: the association of the dated
89 material with the cultural deposit; the stratigraphic position of dated samples; the reliability of
90 the date on that turtle bone specimen; and large standard deviations of the shell dates.

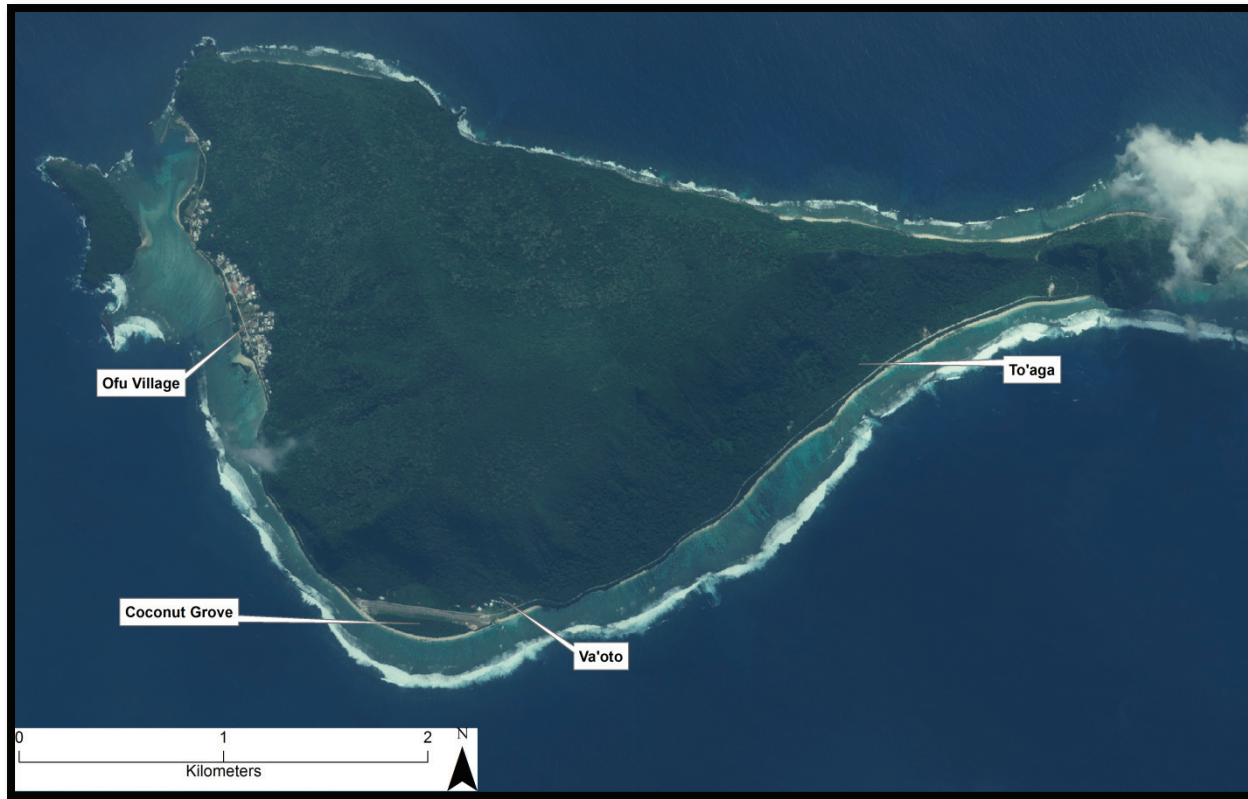
91 Consequently, chronometric dates of the colonization and abandonment of Mulifanua remain in
92 question. Petchey's most compelling argument for 2800 BP is stylistic similarities of the
93 Mulifanua Lapita decorative elements with those found at sites in Fiji and Tonga, and given what
94 we now know of the chronologies of settlement in those archipelagos, a date of 2800 BP is
95 reasonable (see below).

96 Numerous archaeological projects in Samoa over the last several decades have failed to locate
97 additional archaeological deposits with Lapita ceramics. Sites dated to 3000-2800 BP, or earlier,
98 and therefore contemporaneous with Mulifanua and other Central Pacific Lapita sites, have
99 yielded only Plainware ceramics: 'Aoa (Clark and Michlovic 1996), Aganoa, and Utumea
100 (Moore and Kennedy 1999) on Tutuila, and To'aga on Ofu (Kirch and Hunt 1993). Other sites
101 lacking dentate-stamped sherds that may date before 2500 cal BP were reported from Manono
102 and elsewhere on 'Upolu (Jennings and Holmer 1980). Many other Plainware sites have been
103 documented in the archipelago, but typically date to the mid-to-late first millennium and later,
104 thus post-dating the Lapita era.

105 In recent years the radiocarbon determinations from the Plainware sites have been re-evaluated
106 based on chronometric hygiene protocols (Rieth 2007; Rieth and Hunt 2008; Rieth et al. 2008).
107 Those studies rejected many dates, including the early (pre-2500) Plainware dates, based on
108 large standard deviations, dates on unidentified wood charcoal, and/or stratigraphic
109 inconsistencies. As a result, Rieth et al. (2008) report only 22 pre-2000 BP dates as reliable.
110 Removing the questionable dates from consideration results in a gap in the sequence between
111 Mulifanua and the settlement of the rest of the archipelago at 2400-2200 cal BP (Addison and
112 Morrison 2010) or 2500-2400 cal BP (Rieth and Hunt 2008; Rieth et al. 2008). Addison and
113 Morrison (2010) further propose that Samoa was settled twice, once by a Lapita group that
114 reached Mulifanua and perhaps a small number of sites that are currently submerged, and again
115 by a group carrying Plainware pottery that settled 'Upolu and all the other islands. Rieth and
116 Cochrane (2012:338) argue for "a severely diminished or absent prehistoric population in Sāmoa
117 after occupation of Mulifanua, until about 550-250 BC," but additional exploratory archaeology
118 focused on locating buried cultural deposits on coastal flats is warranted.

119 **3. METHODS AND RESULTS**

120 To build on the corpus of chronometric dates from Samoa, Clark and Quintus have carried out
121 archaeological investigations at three sites on the island of Ofu: the Va'oto (AS-13-13) and
122 Coconut Grove (AS-13-37) sites on the Va'oto Plain at the southern tip of the island, and the Ofu
123 Village (AS-13-41) site on the west coast (Fig. 2). Additionally, we present eight new U-Th
124 series dates of coral samples collected from those sites. These data are combined with a set of
125 dates from the To'aga (AS-13-1) site on the south-central coast of Ofu reported by Kirch
126 (1993a).



127

128 **Fig. 2.** The location of the four sample locations on Ofu Island discussed in the text. Note that these sites
 129 are located near the widest stretches of fringing reef.

130 **3.1 Radiocarbon Dating**

131 The combined dataset consists of 19 pre-2000 cal BP charcoal radiocarbon dates from four sites
 132 on Ofu: 11 from Va'oto, 2 from Coconut Grove, 2 from Ofu Village, and 4 from To'aga (Fig. 2;
 133 Table 1). The charcoal samples from Va'oto, Coconut Grove, and Ofu Village were dated at Beta
 134 Analytic using an accelerator mass spectrometer (AMS). Charcoal samples from these sites were
 135 taken *in situ* and point-plotted in 3D space. Three additional samples from charcoal residue on
 136 ceramic sherds, all from the Va'oto site, were taken and dated by Susan Eckert. Most charcoal
 137 samples were not identified prior to submission for analysis, but short-lived samples, specifically
 138 *Cocos nucifera* endocarp (coconut shell), have been dated from all three sites. All identified
 139 samples were examined by Jennifer Huebert at the University of Auckland. Five samples – 2
 140 from Ofu Village, 1 from Coconut Grove, and 2 from Va'oto – were identified as short-lived
 141 taxa. All conventional radiocarbon dates were calibrated in Oxcal 4.2 (Bronk Ramsey 2009)
 142 using the IntCal 2013 calibration curve (Reimer et al. 2013). Charcoal dates from prior
 143 investigation at To'aga (Kirch 1993a), which were dated using standard radiocarbon techniques,
 144 were recalibrated for this analysis. As such, those samples from To'aga have significantly higher
 145 error ranges relative to samples from the other Ofu sites ($> \pm 100$ compared to ± 30 or ± 40).

146 Shell dates from previous research at To‘aga were not used in this analysis, which we restricted
147 to charcoal for consistency. It should be noted, though, that preliminary checks have shown that
148 the inclusion of the remaining pre-2000 cal BP shell dates would have little effect on the results
149 of this analysis.

150 **3.2 Uranium and Thorium (U-Th) Dating**

151 Pristine, culturally unmodified branches and two coral abraders of *Acropora* spp. coral were
152 collected: (1) *in situ* within cultural layers or (2) at the boundary of the lowest cultural layer and
153 sterile sedimentary deposit (paleo beach). In the first instance, coral samples date the formation
154 of the cultural layers as unmodified coral branches and abraders were added as part of the layer
155 matrix, while in the latter, coral dates provide a *terminus post quem* for the formation of the
156 earliest cultural layer (e.g., sample 2014-19). Branch samples were first examined to determine
157 the general state of preservation. To exclude samples with diagenesis, coral branches with
158 obvious water rounding were not considered further for U-series dating. Only coral branches that
159 exhibited sharp and well preserved verrucae were selected. These pristine-appearing branches
160 were subsampled for analysis of diagenetic alteration from deleterious products including marine
161 aragonite and calcite cements, meteoric cements, and dissolution and extensive bioerosion using
162 Scanning Electron Microscopy (SEM) (Hua et al. 2015; Nothdurft and Webb 2009;). Small
163 representative pieces were cut with a diamond saw and analyzed with SEM for identifying pore
164 filling cements. The lab numbers and provenance information for the U-series dated coral
165 samples are presented in Table 2.

166 A subsample of material from each of the coral specimens was cut and the exterior corallites
167 removed with a diamond edged circular saw. Material was crushed with bone cutters and an
168 agate mortar and pestle to approximately 1 mm grain size. Cleaning procedures follow those
169 described in Clark et al. (2014a, 2014b) and were performed in an ultra-clean lab. Coral
170 fragments for analysis were examined under a microscope to select the cleanest coral pieces free
171 from alteration and clay or infilled cement contamination. SEM indicates that the skeletal
172 components of the majority of samples are unaltered with largely pristine skeletal aragonite.
173 Samples are generally pristine and the internal core of the coral skeletons considered unaltered.
174 In those samples that were affected by alteration, the diagenetic effects were minimal and
175 primarily confined to the exterior portions of the coral skeleton. The removal of the external
176 skeleton before crushing and microscopic vetting of the crushed coral fragments after
177 undertaking the H₂O₂ cleaning procedure eliminated any sample fragments that may have
178 contained altered material. For this reason, all samples were considered suitable for U-Th dating.

179 U and Th isotope ratios were measured on a Nu Plasma multi-collector inductively coupled
180 plasma mass-spectrometer (MC-ICP-MS) with a DSN-100 nebulizing system and a modified
181 CETAC ASX-110FR autosampler, at the Radiogenic Isotope Facility, University of Queensland
182 following procedures described in Clark et al. (2014a, 2014b). U-Th data in Table 3 shows ²³²Th

183 concentrations similar to values of other Pacific island corals of a similar age (e.g. Burley et al.
184 2012, Cobb et al. 2003, Weisler et al. 2006, Weisler et al. 2009). ^{232}Th values range between
185 0.019 ppb and 1.39 ppb, with an average concentration of 0.44 ppb. These values are relatively
186 low and indicate that initial ^{230}Th component from detrital ^{232}Th is minimal or negligible,
187 resulting in excellent age precision. All the samples fulfill the criteria, outlined in Scholz and
188 Mangini (2007), to identify diagenetic factors that affect both age precision and accuracy. These
189 include calcite content of less than 2%, ^{232}Th concentrations less than 2 ppb, U concentrations
190 that fall within modern coral values (i.e. 2.5 – 3.5 ppm), and $\delta^{234}\text{U}$ that fall within modern
191 seawater and coral values (i.e. 147 ± 5 ‰). Thus, the Samoan samples are considered reliable for
192 U-Th dating.

193 ***3.3 Single Phase Bayesian Modelling***

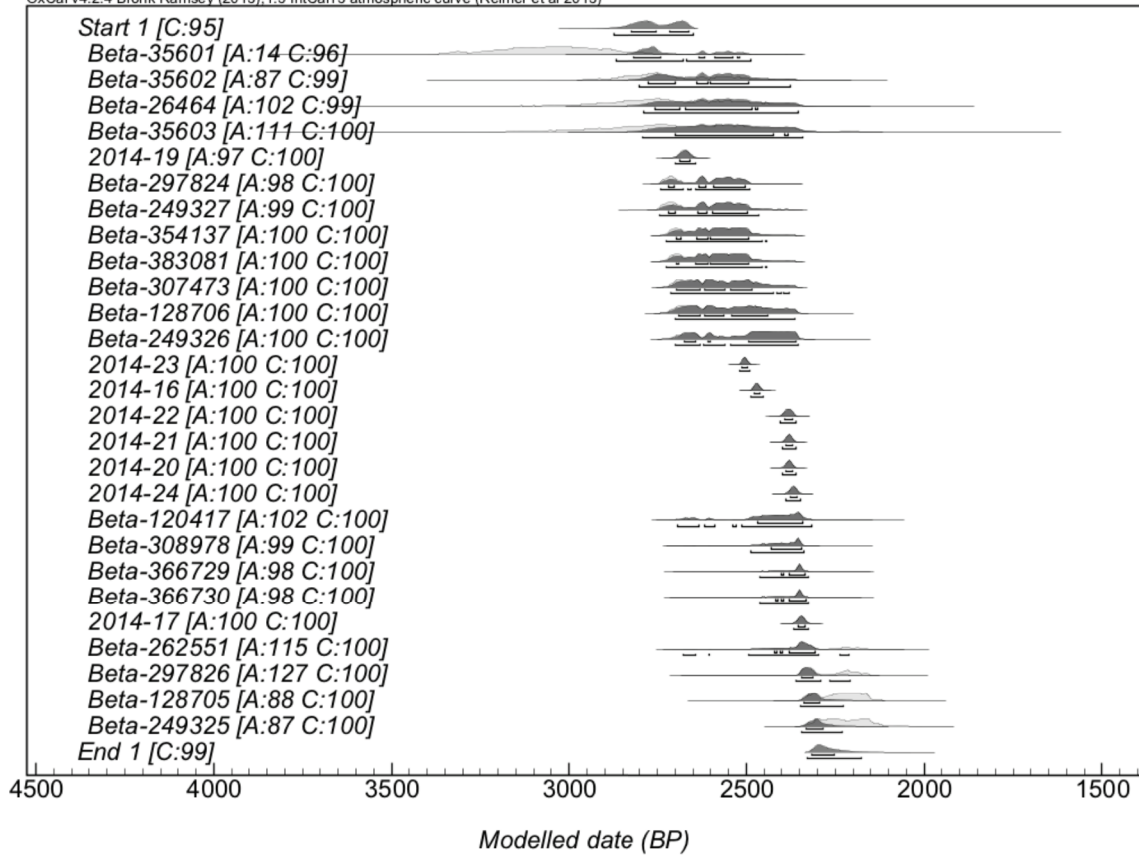
194 The use of Bayesian analysis to determine precise chronologies for island colonization and
195 depositional sequences is becoming widespread in Oceania (Allen and Morrison 2013; Burley
196 and Edinborough 2014; Burley et al. 2015; Cochrane et al. 2013; Denham, et al. 2012; Nunn and
197 Petchey 2013; Petchey et al. 2015; Sheppard et al. 2015). Simply, Bayesian statistics allow one
198 to integrate prior information into the calculation of probability distributions for individual dates;
199 that prior information may be stratigraphic evidence or more general chronological controls.
200 Based on information included in the model, the program provides a quantitative assessment of
201 the accuracy of the model, i.e., the agreement index. The conventional recommendation is that
202 the agreement index should be above 60% for all samples and the model as a whole. If the
203 agreement index of an individual sample is less than 60%, it may mean the sample is an outlier;
204 if the model agreement index is less than 60%, the model could be invalid.

205 We integrate charcoal and coral dates into a single Bayesian model, facilitated by the use of
206 OxCal, to model the start date for the colonization of Ofu Island. For simplicity, we model island
207 colonization as a single uniform phase using the standard boundary command. This model
208 assumes no prior ordering of dates – all determinations are a random scatter of events in no
209 particular order – but evaluates all dates within a shared group to determine, for instance, the
210 probability that the statistical tails of some dates are the product of plateaus in the calibration
211 curve. This is particularly important for this time period, which is significantly affected by the
212 Iron-Age calibration plateau. The integration of coral dates with AMS radiocarbon dates in the
213 model may allow us to overcome the deficiencies of wood charcoal dates within that time range.
214 Furthermore, it allows us to quantitatively assess the internal consistency of both coral and
215 radiocarbon dates. The single group analyzed is defined as all pre-2000 cal BP charcoal and coral
216 dates from Ofu.

217 Three iterations of a Bayesian model were run to determine the timing of initial colonization of
218 Ofu Island. Two of the coral dates (2014-15 and 2014-18) were excluded from analysis as they
219 stem from pre-colonization contexts based on stratigraphic evidence. They were dated to address

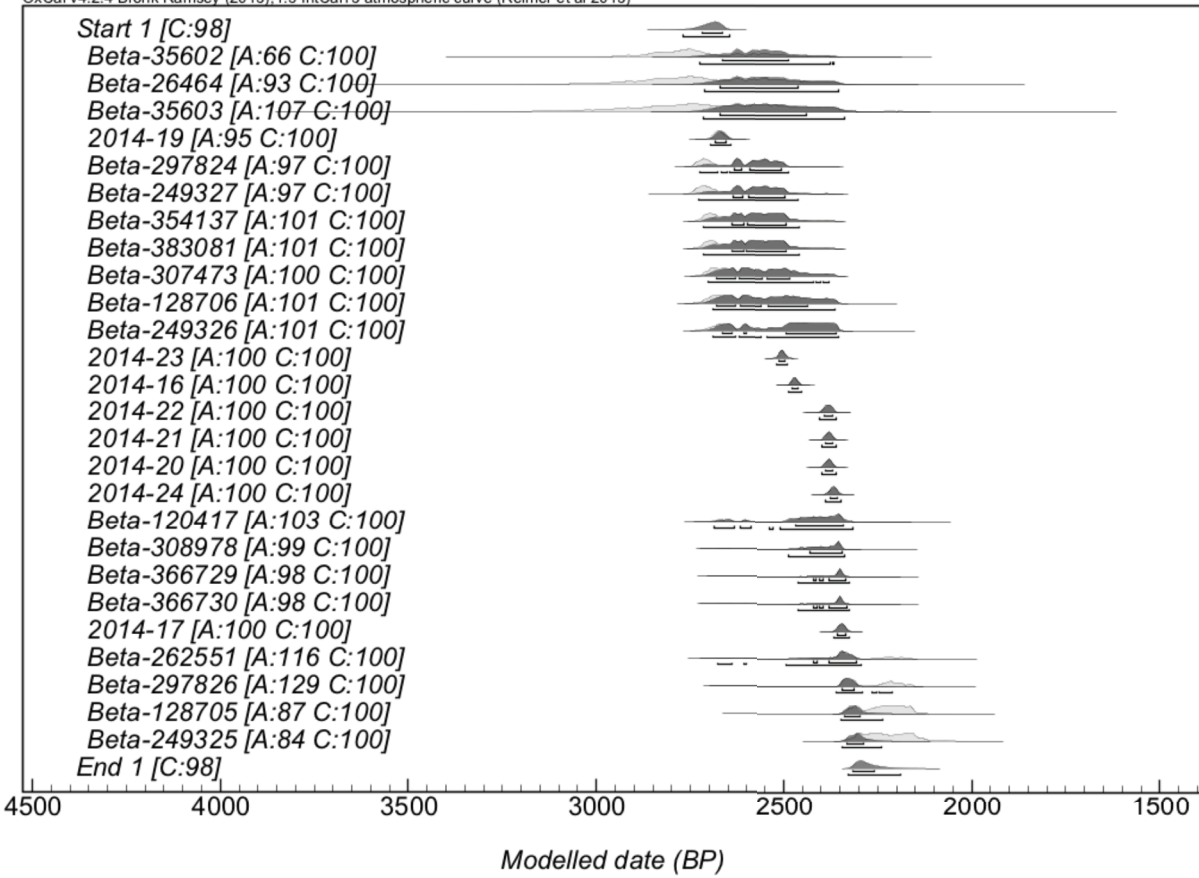
220 questions regarding landscape formation processes. All other samples are interpreted to date
221 human activity, either by association or because the fresh coral finger was modified into an
222 artifact (2014-16 and 2014-24). Sample 2014-19, an unmodified fresh coral finger, is of
223 particular importance given that it is located at the interface of sterile beach sand and the basal
224 cultural deposit at Coconut Grove.

225 The first iteration consisted of all coral and charcoal dates deemed to be associated with human
226 activity (n=27). The initial run of the model resulted in a modelled start date of 2875-2649 cal
227 BP (95.4%) (Fig. 3). All but one determination returned agreement indices above 60%, and the
228 model had an overall agreement of 75%. The lone radiocarbon date with an index below the
229 threshold is the earliest charcoal date from To'aga (Beta-35601, A=14%). Such a low agreement
230 index, along with visual inspection, suggests that the sample is an outlier, perhaps because it was
231 wood with in-built age. The outlier was removed from the phase and a second iteration of the
232 model was run (Fig. 4). This resulted in a higher overall model agreement (A=91.3%), and all
233 dates have individual agreement indices above 60%. This iteration resulted in a shorter modelled
234 start date of 2763-2645 cal BP (95.4%). To ensure reliable results, a third iteration of the model
235 was run that included only determinations derived from either short-lived charcoal (n=5) or coral
236 from cultural deposits, as defined above (n=8) (Fig. 5). Again, the model returned a high overall
237 agreement index (A=99.3), and all individual agreement indices were over 95%. The modelled
238 start date was very similar to that modelled in the second iteration, with a 95.4% HPD range of
239 2774-2647 cal BP and a 68.2% range of 2717-2663 cal BP.



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241 **Fig. 3.** Single Phase Bayesian analysis of all culturally-associated pre-2000 BP coral and charcoal dates
242 from Ofu Island. Note the agreement index of Beta-35601.

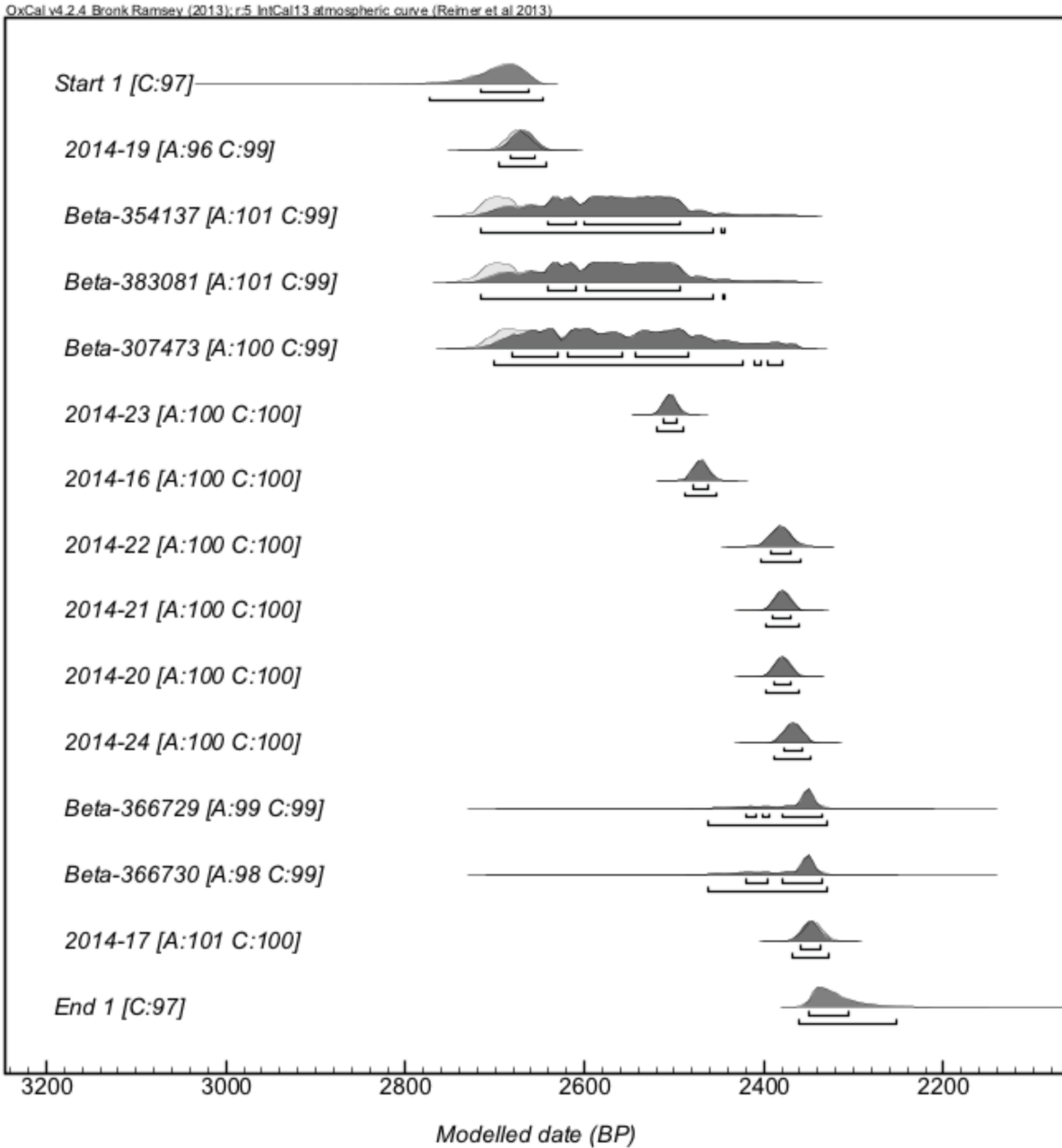


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Fig. 4. Single Phase Bayesian model of all culturally-associated pre-2000 cal BP dates from Ofu Island excluding the interpreted outlier Beta-35601.



246

247 **Fig. 5.** Single Phase Bayesian model of all culturally-associated coral and short-lived charcoal samples.

248 This model had the highest overall agreement index of any iteration.

249 **4. DISCUSSION**

250 Our Bayesian analysis of charcoal and coral dates from Ofu Island indicates colonization no later
251 than 2650 cal BP. We favor the modeled range of 2717-2663 cal BP (68.2%) as the most precise
252 period bracketing colonization. This range is influenced by four dates on short-lived material:
253 one on coral and one on coconut endocarp charcoal from Coconut Grove, and two on coconut
254 endocarp charcoal from Ofu Village. The three charcoal dates have large ranges associated with
255 the Iron-Age calibration plateau and the coral age is interpreted as marking the first settlement of
256 Coconut Grove based on stratigraphic context and consistency with the short-lived charcoal date
257 from the same deposit. We add that the four sites covered in this analysis represent the areas of
258 coastal lowlands most likely to have been available for early occupation, and it seems unlikely to
259 us that significantly earlier colonization (before the 95.4% range of 2774-2647 cal BP) took
260 place at any other location on the island.

261 Based on these data, Ofu Island appears to have been settled after Lapita colonization of ‘Upolu,
262 although the dates from Ofu overlap with those from Mulifanua when using the 95.4% HPD
263 range (see Petchey 2001). If one rejects or sets aside the earliest dates on Tutuila from ‘Aoa,
264 Aganoa, and Utumea (Clark and Michlovic 1996; Moore and Kennedy 1999) due to unidentified
265 wood and questions of context as argued by some (Rieth 2007; Rieth and Hunt 2008; Rieth et al.
266 2008), then Ofu would appear to have been colonized prior to Tutuila. More importantly, the
267 modelled colonization date for Ofu presented here, taken in conjunction with all pre-2000 cal BP
268 determinations for Samoa, does not support a significant gap in the Samoan sequence between
269 Lapita colonization on ‘Upolu and the later Plainware occupation in the archipelago as
270 previously suggested (e.g., Addison and Morrison 2010; Rieth 2007; Rieth and Hunt 2008; Rieth
271 et al. 2008). The date of colonization of Ofu allows us to quantify the period of migration
272 through the Central Pacific and place Manu‘a more confidently within that span.

273 Recent reassessment of dates from the Bismarck Archipelago by Denham et al. (2012) provide
274 an initial date for the appearance of Lapita ceramics at 3470-3250 cal BP (68.2%), although
275 those dates may reflect, to some degree, an in-built age due to old-wood effect. Lapita
276 populations expanded further into the Pacific to colonize islands in Remote Oceania. Denham et
277 al. (2012:44) put the colonization of Vanuatu at 3250-3100 cal BP (68.2%) and Fiji at 3130-3010
278 cal BP (68.2%), but dates used to construct that chronology are either on unidentified wood with
279 possible in-built age, from problematic context, or are anomalous relative to sites in proximity
280 (Nunn and Petchey 2013; Sheppard et al. 2015:34-35). Sheppard et al. (2015), therefore, suggest
281 that Remote Oceania was not colonized until 3000 cal BP or shortly thereafter, although one site
282 in Vanuatu (Mauké on Aore Island Espiritu Santo), and one in the Loyalty Islands (Kurin on
283 Maré) may be slightly earlier. The earliest sites in Fiji now appear to be Bourewa on Viti Levu
284 Island and Matanamuani on Naigani Island. Nunn and Petchey (2013) critically reassessed the
285 early dates for Bourewa using a Bayesian analysis, putting the site colonization at 2866–2771 cal
286 BP (95.4%). Dates for Matanamuani were recently reanalyzed by Sheppard et al. (2015) through

287 a Bayesian model, which revealed an outlier that Irwin et al. (2011) had initially identified as
288 inconsistently old, possibly reflecting old-wood effect. When that date is removed from
289 consideration, the Bayesian analysis indicates “an upper boundary for the site of 3001–2790 cal
290 BP (95% HPD)” (Sheppard et al. 2015:32).

291 In West Polynesia, Burley and colleagues have proposed that in Tonga, the Nukuleka site, on
292 Tongatapu, constitutes the founding Lapita colony of Tonga. Radiocarbon dates for Nukuleka
293 document initial occupation at 2900-2850 cal BP, but subsequent Bayesian analysis pairing AMS
294 and U-Th dates of Nukuleka (Burley et al. 2012), particularly a U-Th date on a coral file, further
295 refined the colonizing date to 2846-2830 cal BP. Recently, those analytical techniques were
296 applied to other Lapita sites in the Tongan Archipelago with the results showing subsequent
297 settlement of the islands to the north 70-90 years later, with several islands colonized
298 instantaneously in the Ha‘apai Group, in the Vava‘u Group, and possibly as far away as
299 Niuatoputapu (Burley et al. 2015). The age of Mulifanua at ca. 2800 BP proposed by Petchey
300 (2001) falls within the Lapita sequence of Tonga, and there is marked temporal proximity of Ofu
301 to Mulifanua.

302 Taking 3000 cal BP as the beginning of the colonization of Remote Oceania and the colonization
303 of Ofu as the end provides a timespan of the migration of 280-340 years (calculated based on
304 68.2% range). Lapita colonization of western Remote Oceania may have been completed within
305 14 generations (at 20 years each). Sheppard and colleagues (Sheppard 2011; Sheppard et al.
306 2015; Sheppard and Walter 2006) have argued that the speed of the Lapita colonization from the
307 Bismarck Archipelago in the far west out to the Reef/Santa Cruz group in Remote Oceania was
308 so fast that it can only be explained by invoking a leap-frog movement. Once in Remote
309 Oceania, migration farther east continued in “an almost continuous expansion, possibly through a
310 series of leap-frogs” (Sheppard et al. 2015:35). Similarly, because some of the pottery at the
311 Nukuleka site came from an island to the west of Fiji, Burley and colleagues (Burley and
312 Connaghton 2007; Burley et al. 2010; Burley and Dickinson 2010) view the Tonga colony as
313 also suggesting a leap-frog settlement process. Sheppard et al. (2015:35) further argued that
314 given this speed of expansion, there is now no evidence of population growth as a driver for the
315 migration from the western Pacific out to Fiji. We conclude that the short timespan documented
316 here for the migration beyond Fiji to Tonga and the eastern-most islands of Samoa also strongly
317 argues against a demographically driven explanation for the colonization of West Polynesia,
318 whether by Lapita or Plainware populations. This argument applies regardless of whether the
319 colonization process was one of leap-frogging or direct, down-the-line movement; but if the
320 latter took place, the time between each movement would have been short.

321 Population size may have played another role, however, which is in ending Lapita-era (i.e.,
322 Lapita or Plainware) migration. As others have argued, settlement of Samoa may have stretched
323 colonizers to their limit (Addison and Morrison 2010), and this may have caused the initial
324 populations inhabiting the archipelago to remain small and somewhat isolated (Cochrane et al.

325 2013). The suggestion that the migration may have been running out of steam, so to speak, is
326 highlighted by the difference between the length of time from the beginning of the colonization
327 of Remote Oceania to the settlement of Tonga (\approx 154-170 years), as modelled by Burley et al.
328 (2012) (2846-2830 cal BP), and the length of time from settlement of Tonga to the colonization
329 of Ofu (\approx 129-183 years), a considerably shorter distance.

330 The dates proposed here for Ofu also hold implications for understanding other aspects of West
331 Polynesian colonization. At the 95.4% confidence level, the Ofu (Plainware) date range (2774-
332 2647 cal BP) closely approaches, and possibly overlaps with, the occupation of Mulifanua
333 (Lapita). At the very least, then, the time frame for a gradual transformation of Samoan Lapita to
334 a Polynesian Plainware narrows considerably (Green 1974:253). In Tonga, Burley and
335 colleagues propose that the cessation of Lapita dentate stamping and the transition to Plainware
336 ceramics took place over periods of “129 to 158 years on Tongatapu, 32 to 49 years in Ha‘apai,
337 and 51 to 82 years in Vava‘u” (Burley et al. 2015:11). Such a transition in Samoa may also have
338 been fairly rapid. But, while there is evidence of a transition in Tonga, none of the first
339 millennium BC sites in Samoa have presented evidence of a decorated-to-plain transition. It is
340 important to note that the modelled colonization date for Ofu of 2717-2663 cal BP at 68.2%
341 overlaps with the Burley et al. (2015) dates of Lapita ceramic loss in Tonga (at 68.2%, cal BP) of
342 2709-2680 on Tongatapu, 2728-2716 for Ha‘apai, and 2703-2683 for Vava‘u. If the Ofu
343 colonizers originated somewhere in Tonga (which is still uncertain), they may have embarked
344 after, or in the dying stage of, decorative ceramic applications. Thus, this temporal correlation
345 supports a migration scenario in which Ofu was settled soon after the loss of Lapita ceramics
346 from Tonga.

347 Alternatively, it is conceivably that sites with Lapita pottery or showing such a transition to
348 Plainware may lie submerged along the coasts of ‘Upolu and Savai‘i, but submergence of sites is
349 not indicated for Tutuila or Manu‘a in either the geomorphological model of Dickinson and
350 Green (1998) or the documented locations of early sites (Clark and Michlovic 1996; Kirch and
351 Hunt 1993; Moore and Kennedy 1999; Quintus et al. 2015). On those islands, sites may yet be
352 found buried under talus and colluvium back from the modern shoreline (Kirch 1993b), but
353 where such areas have been explored thus far, only Plainware has been found. Another proposed
354 explanation for the apparent absence of sites with Lapita or transitional ceramics – and scarcity
355 of pre-2500 BP settlements of any type – is limited occurrence of suitable coastal plains at that
356 time (Rieth et al. 2008; Cochrane et al. 2015). But, the founding populations are likely to have
357 been quite small (e.g., Addison and Morrison 2010), and therefore would not require much in the
358 way of a coastal flat. That certainly is the case with the Ofu sites and is overwhelmingly the case
359 with early colonization of low coral atolls that typify settlement of the smallest of island
360 landscapes (Weisler et al. 2012). Moreover, two non-culturally affiliated coral dates from Ofu,
361 samples 2014-15 and 2014-18, indicate that the coastal landscape of Ofu onto which humans
362 settled was available by the end of the 2nd millennium BC. Certainly the conditions on each
363 island in the archipelago were unique due to differing geological forces and geomorphological

364 configurations, but while limited suitable land constrained colonization opportunities in Samoa,
365 it did not prohibit settlement.

366 It is now clear that while some islands in the Samoan archipelago, notably 'Upolu, were
367 colonized by Lapita people with dentate-stamped pottery, other islands, i.e., Ofu, were first
368 settled by people making only Plainware pottery. Whether these conditions reflect colonization
369 of Samoa by one group or two groups remains unresolved. The single-group model gains some
370 support in closing the time gap between decorated and plain assemblages. At the same time, the
371 same gap closure, in conjunction with the absence of stylistic transition, may be regarded as still
372 indicating two distinct groups, one Lapita and one Plainware. The debate as to the number of
373 colonization events and peoples for Samoa will require analysis of a range of data including
374 detailed comparisons of ceramic assemblages amongst sites in Samoa and Tonga, and
375 petrographic and/or geochemical analysis of ceramic constituents to identify exotic or locally
376 made pottery. Detailed analyses of the ceramic assemblages from the Va'oto, Coconut Grove,
377 and Ofu Village sites have not been completed, but we can say that the assemblages are broadly
378 comparable with one another and with the assemblages from To'aga described by Hunt and
379 Erklens (1993). How those assemblages compare with the Plainware assemblages from other
380 sites in Samoa and Tonga remains to be determined.

381 **5. CONCLUSIONS**

382 The presence of a single site with Lapita ceramics in the Samoan Archipelago together with
383 reevaluations of previously published dates have raised questions as to the continuity between
384 Lapita and Plainware sites in Samoa, and about the precise age of that colonization(s). Our
385 results provide preliminary answers to these questions. First, data from Ofu fills a gap in the
386 chronological sequence of the archipelago created by previous chronometric hygiene protocols.
387 While this still leaves open the possibility that multiple groups were involved in the human
388 settlement of Samoa, it does refute the proposal that there was a substantial amount of time
389 between these possible different settlement events. Second, our model indicates that Ofu was
390 colonized sometime within 2774-2647 cal BP (95.4%) or perhaps more narrowly, 2717-2663 cal
391 BP (68.2%). That such a date overlaps with modelled dates of the loss of dentate-stamped
392 decoration in Tonga may explain the absence of Lapita pottery on Ofu, although other
393 explanations are also possible. Thus, the data presented here contribute to the continuing efforts
394 to understand the colonization of the Pacific. The precision allowed by the U-Th dating of coral,
395 especially when input into a Bayesian model, creates opportunities for more robust models of
396 colonization. In particular, they provide a precise duration of Lapita-era migration and the
397 changing pace of island colonization. The Samoan Archipelago, and more specifically the
398 Manu'a Group of American Samoa, inhabits an important place as the eastern Oceanic extent of
399 arguably the most rapid maritime human migration in world prehistory.

400

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556 **Table 1.** Description of radiocarbon dates from Ofu Island used in this analysis. To'aga dates are
 557 recalibrated based on data presented by Kirch (1993a).
 558

Sample Number	Site	Unit	Layer	Depth	Material	Taxon	13c/12c	Conventional Date	Calibrated Date (95.4%)
Beta-35602	To'aga	Unit 23	Layer IIIA	NA	Charcoal	Unidentified charcoal	-26.9	2630±100	2958-2380 BP
Beta-26464	To'aga	Unit 10	Layer IIb	70-80 BS	Charcoal	Unidentified charcoal (flecks)	-27.8	2620±140	3057-2351 BP
Beta-35603	To'aga	Unit 23	Layer IIIB	190-260 BS	Charcoal	Unidentified charcoal	-28.4	2600±170	3156-2314 BP
Beta-35601	To'aga	Unit 28	Layer II	290-300 BS	Charcoal	Unidentified charcoal (flecks)	-27.8	2900±110	3177-2781 BP
Beta-249325*	Va'oto	35E/1 6N	Layer IIb, level 5	97 BD	Charcoal	Unidentified charcoal	-25.9	2200±40	2330-2120 BP
Beta-128705	Va'oto	23E/6 N	Layer IIc, level 7	72-74 BD	Charcoal	Unidentified charcoal	-25.8	2230±40	2337-2151 BP
Beta-297826	Va'oto	37E/9 N	V Feature 60	144 BD	Charcoal	Unidentified charcoal	-26.2	2280±40	2354-2157 BP
Beta-366730	Va'oto	39E/9 N	Feature 74	129 BD	Charcoal	<i>Cordyline</i> sp. Stem	-28.0	2350±300	2464-2324 BP
Beta-366729	Va'oto	40E/9 N	Vc	121 BD	Charcoal	<i>Cocos nucifera</i> endocarp	-25.3	2350±300	2464-2324 BP
Beta-262551	Va'oto	35E/1 2N	Layer IV, Feature 25	103-113 BD	Charcoal	Unidentified charcoal	-28.0	2320±50	2652-2155 BP
Beta-120417	Va'oto	24E/2 N	Layer IIIc, Feature 12	114-117 BD	Charcoal	Unidentified charcoal	-27.2	2370±500	2700-2312 BP
Beta-249326*	Va'oto	28E/8 N	Layer IV, level 7, Feature 39	99 BD	Charcoal	Unidentified charcoal	-25.4	2430±400	2702-2353 BP
Beta-297824	Va'oto	36E/7 N	V Feature 59	133 BD	Charcoal	Unidentified charcoal	-25.1	2520±30	2744-2491 BP
Beta-249327*	Va'oto	23E/1 0N	Layer IVb, level 6	98-108 BD	Charcoal	Unidentified charcoal	-22.2	2520±400	2747-2470 BP
Beta-128706	Va'oto	24E/1 8N	Layer IVG, level 15	169 BD	Charcoal	Unidentified charcoal	-30.3	2460±400	2710-2364 BP
Beta-308978	Coco nut Grove	XU-2	II	56 BD	Charcoal	Unidentified charcoal	-27.7	2370±30	2489-2337 BP
Beta-307473	Coco nut Grove	XU-2	III	67 BD	Charcoal	<i>Cocos nucifera</i> endocarp	-24.9	2470±30	2717-2380 BP
Beta-354137	Ofu Village	XU-4	VIc	301 BD	Charcoal	<i>Cocos nucifera</i> endocarp	-23.0	2490±30	2730-2460 BP
Beta-383081	Ofu Village	XU-4	VIc	226 BD	Charcoal	<i>Cocos nucifera</i> endocarp	-23.4	2490±300	2730-2460 BP

560 **Table 2.** Lab numbers and provenance for U-series dated *Acropora* spp. corals from Va'oto, Ofu,
 561 American Samoa. All lab numbers are preceded by 2014.
 562

Lab No.	Site	Unit	Lay er	Level	Depth (cmbd)	Weight (g)	Condition	Calibrated Date (BP)
15	Coconut Grove	11	III	6	64	8.5	Unmodified	2814-2778
16	Va'oto	24E/18N	IVb	15	161-171	382.9	Abrader	2486-2454
17	Va'oto	37E/11N	III	5	82	55.1	Unmodified	2363-2323
18	Va'oto	T1	V	-	130-150	9.2	Unmodified	3147-3103
19	Coconut Grove	12	III	8	59	3.0	Unmodified	2692-2640
20	Va'oto	40E/9N	Vb	10	106	4.6	Unmodified	2392-2356
21	Va'oto	40E/9N	Vb	10	102	11.6	Unmodified	2395-2359
22	Va'oto	39E/9N	VI	-	134-187	12.2	Unmodified	2397-2356
23	Va'oto	39E/9N	VI	-	134-187	26.1	Unmodified	2517-2489
24	Va'oto	32E/8N	IVb	7	90-100	3.3	Abrader	2385-2345

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